

*FSP Name	Global Independent Administrators (GIA)	Licence Categories	Cat I Advice	Cat I Intermediary Services	Cat II Discretionary	Cat III Administrative
Registration Number	2005/005956/07	Long-term Insurance subcategory C		X		
Licence Description	FSP Category 1	Securities & Instruments: Shares		X		
Licence Details	FSP 42255	Securities & Instruments: Money market instruments		X		
Compliance Officer	Lelani Kemp (6420) +27 10 594 2121 lkemp@globaladmin.co.za	Securities & Instruments: Debentures and securitised debt		X		
		Securities and Instruments: Bonds		X		
Responsible Key Individual and Contact Details	Shane Peters +27 10 594 2118 speters@globaladmin.co.za	Securities & Instruments: Derivative instruments excl warrants		X		
		Participatory Interests in Collective Investment Schemes		X		
		Friendly Society Benefits		X		

*FSP Name	Global Fund Administrators (GFA)	Licence Categories	Cat I Advice	Cat I Intermediary Services	Cat II Discretionary	Cat III Administrative
Registration Number	2007/030489/07	Long-term Insurance subcategory B1 and B2	X	X	X	X
Licence Description	FSP Category 3 13B Administrator	Long-term Insurance subcategory C		X		X
		Retail Pension Benefits	X	X	X	X
Licence Details	FSP 43521 13B 24/665	Pension Fund Benefits (excluding retail pension benefits)	X	X	X	X
		Securities & Instruments: Shares	X	X	X	X
Compliance Officer	Claudia Radsma (4508) +27 10 594 2100 Lelani Kemp (6420) +27 10 594 2121 Eugene Venter (6639) +27 10 594 2124	Securities & Instruments: Money market instruments	X	X	X	X
		Securities & Instruments: Debentures and securitised debt	X	X	X	X
		Securities & Instruments: Warrants, certificates & other instruments				X
		Securities and Instruments: Bonds	X	X	X	X
		Securities & Instruments: Derivative instruments excl warrants	X	X	X	X
Responsible Key Individual and Contact Details	Chad Menton +27 10 594 2151 cmenton@globaladmin.co.za	Participatory Interests in Collective Investment Schemes	X	X	X	X
		Friendly Society Benefits	X	X		
		Long-term Deposits				X
		Short-term Deposits				X

\*FSP : Financial Services Provider (FSP) referred to further in this document as "we" or "us"

Company Name	Global Nominees
Registration Number	2012/187969/07
Licence Description	Authorised Nominee
Responsible Key Individual and Contact Details	Chad Menton +27 10 594 2151 cmenton@globaladmin.co.za

Company Name	Global Employee Benefits (GEB)
Registration Number	2007/015428/07
Licence Description	13B Administrator
Licence Details	13B 24/451
Responsible Key Individual and Contact Details	Chris van Rooyen +27 12 648 9180 cvanrooyen@globaladmin.co.za

Business Address	1st Floor, Building B, Hurlingham Office Park, 59 Woodlands Avenue, Hurlingham, Sandton, 2196
Postal Address	PostNet Suite 208, Private Bag x 9, Benmore, 2010
Tel. No	+27 10 594 2100
Website	www.globaladmin.co.za

#### Disclosure of statutory information

- The FSP's accept responsibility for their own actions as well as the actions of their representatives while acting in the course and scope of their employment and authorisation by the FSP's.
- No insurers receive more than 30% of our business.
- Certain representatives are required to render advice or intermediary services under supervision. Further details will be provided on request or can be obtained from the FSB's website [www.fsb.co.za](http://www.fsb.co.za).
- At this stage there have been no special exemptions granted to the FSP's by the Registrar.
- The FSP's hold sufficient professional indemnity cover.

#### Any conflict of interest or potential conflict of interest

- There is no conflict of interest that we are currently aware of. Our Conflicts of Interest Management Policy is available on our website.

#### Waiver of rights

- No person may ask you or offer any inducement for you to waive any right or benefit conferred on you by or in terms of any provision of the General Code of Conduct of the FAIS Act.

#### Complaints

##### Particulars of FAIS Ombud

Ground Floor, Block B  
Sussex Office Park  
473 Lynnwood Road  
Cnr Lynnwood Road & Sussex Ave  
0081

**E-mail:** [info@faisombud.co.za](mailto:info@faisombud.co.za)  
**Tel:** +27 12 470 9080 / +27 12 348 3428  
**Fax:** +27 12 348 3447

- Please note that prior to lodging a complaint with the above authorities, you are required to lodge a complaint with the relevant FSP and only after confirmation by the FSP that they are unable to resolve the complaint may it be escalated to applicable authorities.
- We have established a written internal complaint resolution process. Access to the complaints procedures and a copy of the complaint resolution process is available on our website. Complaints should be submitted to us in writing and must contain all relevant information. Copies of all relevant documentation must be attached thereto.

#### Responsibility for accurate and complete information

- Please note that in respect of any application, proposal, order, instruction or other contractual information that is required to be completed for, or submitted to a product supplier by or on your behalf that relates to the purchase of or investment in any financial product, including any amendment thereof or variation thereto, all material facts must be accurately and properly disclosed and the accuracy and completeness of all answers, statements or other information provided by you or on your behalf are your own responsibility.
- If any person completes or submits any application, proposal, order, instruction or other contractual information that is required to be completed for, or submitted to, a product supplier by you or on your behalf that relates to the purchase of or investment in any financial product, including any amendment thereof or variation thereto on your behalf, you should be satisfied as to the accuracy and completeness of the details.
- Any misrepresentation or non-disclosure of a material fact or the inclusion of incorrect information could result in the cancellation of the transaction or the non-payment of a benefit by the product supplier.

#### Signing of incomplete documents

- You are hereby advised and cautioned that no person acting on behalf of the FSP's may in the course of rendering a financial service, request you to sign any written or printed document prior to completion thereof.